

Policy for Acceptance of New Clients

Following procedure are followed by us while accepting new KYCs

1. All the documents like Id Proof residence proof will be verified by Member
2. Having verified the proof with original documents same will be returned to the clients the clients must provide the names and signature of the introducer
3. In case of trading account Bank details and DP details needs to be obtained.
4. All the clients shall be required to visit DP dept. in person the PAN details of the clients shall be verified with Income tax site & then account will be opened as per the name appearing on the PAN card as per the income department.
5. The office in-charge will carry out due diligence i.e .their whereabouts , experience in capital market and shall make the client aware of risk factor in dealing in capital market
6. On collection of requisite documents and carrying out in person verification in appropriate format and account is opened and the complete KYC will be handed over and acknowledgement is obtained and preserved for our record
7. This will be applicable for all segments of the exchange.
8. The concerned officer who is carrying out in person verification will sign and verify all the documents under his hand.

For NKB Securities

Narendra Bhattad
(Authorized Signatory)